SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB APPROVAL										
OMB Number: 3235-0287										
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).		T OF CHANGES IN BENEFICIAL	t of 1934	ΙIΡ
1. Name and Address of Reporting Person Chen Richard	*	2. Issuer Name and Ticker or Trading Symbol <u>Personalis, Inc.</u> [PSNL]	5. Relat (Check	

1. Name and Address of Reporting Person [*] Chen Richard								ationship of Reportin k all applicable) Director	Owner	
(Last) C/O PERSONAI 1330 O'BRIEN I		INC.		ate of Earliest T 4/2021	ransaction (Mo	nth/Day/Year)	- X	Officer (give title below) Chief Med		Other (specify below) fficer
(Street) MENLO PARK	СА		4. lf .	If Amendment, Date of Original Filed (Month/Day/Y		īled (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Group Filing (Check Applica Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
		Table I - Non-De	rivative	Securities A	Acquired, E	Disposed of, or Bene	ficially	/ Owned		
										T

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		Disposed Of (D) (Instr. 3, 4 and			Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	12/14/2021		A		80,000(1)	A	\$0.00	160,073	D	

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exercisable and Expiration Date (Month/Day/Year)				8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: B Direct (D) O	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares						

Explanation of Responses:

1. Each share is represented by a restricted stock unit ("RSU"). Each RSU represents a contingent right to receive one share of the Issuer's common stock upon settlement. The RSUs shall vest in semi-annual installments over three years with the first installment of 13,333 RSUs vesting on June 14, 2022.

Remarks:

/s/ Aaron Tachibana, Attorney-in-Fact

12/16/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.