SEC Form 4
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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287						
Estimated average burden						
hours per response:	0.5					

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	S

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

		••••••••••••••••••••••••••••••••••••••				
1. Name and Address of Reporting Person <sup>*</sup> West John Stephen		2. Issuer Name <b>and</b> Ticker or Trading Symbol Personalis, Inc. [PSNL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			X	Director	10% Owner	
(Last) (Fir	st) (Middle)	3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)	Other (specify below)	
C/O PERSONALIS, INC. 1330 O'BRIEN DRIVE		11/30/2021	President and CEO			
(Street)		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi <sup>.</sup> Line)	vidual or Joint/Group Fil	ing (Check Applicable	
MENLO PARK CA	94025		X	Form filed by One Re Form filed by More th		
(City) (St	ate) (Zip)	-		Person	an One Reporting	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/30/2021		S		100,000(1)	D	<b>\$13.65</b> <sup>(2)</sup>	485,444	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 5. Number 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction Conversion Date Transaction (Month/Day/Year) Derivative Security or Exercise if any (Month/Day/Year) Code (Instr. (Month/Day/Year) Securities Security Securities Form: Beneficial Price of Derivative Securities Acquired (Instr. 3) 8) Underlying (Instr. 5) Beneficially Direct (D) Ownership (Instr. 4) or Indirect Derivative Owned Security (A) or Disposed Security (Instr. 3 and 4) Following Reported (I) (Instr. 4) of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or

Date

Exercisable

### Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan by the reporting person.

2. The weighted average sale price for the transaction reported was \$13.65, and the range of prices were between \$13.36 and \$14.17. Upon request from the SEC staff, the issuer, or any security holder of

Code V

(A) (D)

the issuer, full information regarding the number of shares sold at each separate price will be provided.

### Remarks:

## <u>/s/ Aaron Tachibana,</u> <u>Attorney-in-Fact</u>

Title

Expiration Date Number

of Shares

12/02/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.