SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

I		3235-0207
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Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEME
obligations may continue. See	Fil

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

led pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol Personalis, Inc. [PSNL]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>MacQuitty Jo</u>	nathan	<u>than</u>						Director	10% 0	Owner		
(Last) C/O PERSONA				e of Earliest Transac I/2021	ction (Month/E	Day/Year)	-	Officer (give title below)	Other below	(specify /)		
1330 O'BRIEN DRIVE		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) MENLO PARK	CA	94025	_				X	Form filed by On Form filed by Mc Person				
(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
4 THE 4 COMMIN	(June 4 11 0)	2 T		24 Deemed	2	4. Converting Associated (A)		F Amount of	C. Ourmanahim	7		

1. Title of Security (instr. 3)	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)			Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)		Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	05/14/2021		Α		2,736 ⁽¹⁾	A	\$0.00	2,736	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 3A. Deemed 7. Title and 9. Number of 11. Nature 5. Number 8. Price of 10. Derivative Security (Instr. 3) Conversion or Exercise Price of Transaction Code (Instr. 8) Amount of Securities Underlying Derivative Security (Instr. 5) Ownership Form: Direct (D) Expiration Date (Month/Day/Year) derivative Securities of Indirect Beneficial Date (Month/Day/Year) Execution Date if any (Month/Day/Year) Derivative Securities Beneficially Ownership Derivative Security Derivative Security (Instr. 3 and 4) Owned Following or Indirect (I) (Instr. 4) Acquired (Instr. 4) (A) or Disposed Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount Number Date Exercisable Expiratior Date v (A) (D) Title Shares Code Stock Option Commor 05/14/2021

Explanation of Responses:

(right to

buy)

\$19.74

1. Each share is represented by a restricted stock unit ("RSU"). Each RSU represents a contingent right to receive one share of the Issuer's common stock upon settlement. 100% of the shares subject to the RSU shall vest on the earlier of the one-year anniversary of the grant date or the day prior to the Company's next annual meeting of stockholders. In the event of a change in control (as defined in the Company's 2019 Equity Incentive Plan), the shares underlying the RSU shall vest immediately prior to the effectiveness of such change in control.

(2)

05/14/2031

2. 100% of the shares subject to the option shall vest on the earlier of the one-year anniversary of the grant date or the day prior to the Company's next annual meeting of stockholders. In the event of a change in control (as defined in the Company's 2019 Equity Incentive Plan), the shares underlying the option shall vest and become immediately exercisable prior to the effectiveness of such change in control. Remarks:

> /s/ Aaron Tachibana, Attorney-05/18/2021

\$0.00

4 229

D

in-Fact

Stock

** Signature of Reporting Person Date

4,229

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Α

4.229

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.