FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington. | D.C. | 20549 |
|-------------|------|-------|

| STATEMENT | OF | CHANGES | IN BEI | NEFICIAL | OWNER | SHIP |
|-----------|----|---------|--------|----------|-------|------|
|           |    |         |        |          |       |      |

| OMB APPROVAL             |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-0287    |     |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  Chen Richard                                       |                           |  |   |        |           | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Personalis, Inc. [ PSNL ] |     |  |  |                      |   |                     |   | (Ched                     | k all application         | able)   | g Pers   | on(s) to Issu<br>10% Ov<br>Other (s              | vner      |  |
|--|---------------------------|--|---|--------|-----------|--|-----|--|--|----------------------|---|---------------------|---|---------------------------|---------------------------|---|--|--|-----------|--|
|  | (I<br>SONALIS<br>BRIEN DR |  | (Middle)  |        |           | 3. Date of Earliest Transaction (Month/Day/Year) 02/25/2020                  |     |  |  |                      |   |                     | X   | below) Ch                 | nief Scie                 |   | below)`<br>Officer   |  |           |  |
| (Street) MENLO   | PARK (                    | CA   | 94025   |        |           | 4. If Amendment, Date of Original Filed (Month/Day/Year) 02/27/2020          |     |  |  |                      |   | 6. Ind<br>Line)     |   |                           |                           |   |  |  |           |  |
| (City)   | (:                        | State)                                     | (Zip)   |        |           |  |     |  |  |                      | _   |                     |   |                           | <u> </u>                  |   |  |  |           |  |
| 1. Title of Security (Instr. 3)  2. Trans Date   |                           |  | nsactio   |        |           | Code (Instr.   |     | or 5. Amo<br>and 5) Securit<br>Benefic |  | s<br>lly<br>ollowing | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |                     | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                           |                           |   |  |  |           |  |
|  |                           |  |   |        |           |  | c   | Code V                                 | ,  | Amount               | (A)<br>(D)  | or Pi               | rice  | Transacti                 | saction(s)<br>r. 3 and 4) |   |  | (  |           |  |
| Common Stock 02/25/  |                           |  |   | 25/202 | /2020     |  |     | M                                      |  | 101,000 A            |   |                     | \$0.00  | 160,000                   |                           |   | D  |  |           |  |
| Common Stock 02/25/  |                           |  |   |        | 25/202    | /2020  |     |  | S <sup>(1)</sup>                                     |                      | 101,000 D   |                     | \$  | 8.77(2)                   | 59,000                    |   |  | D  |           |  |
|  |                           |  | Table II -  |        |           |  |     |  |  |                      |   | osed of,<br>onverti |   |                           |                           | wned  |  |  |           |  |
| 1. Title of Derivative Security (Instr. 3)  2. Convers or Exerc Price of Derivative Security |                           | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Di<br>if any<br>(Month/Day/ | ate,   | Code (Ins |  |     |  | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Yea |                      | ate   | e of Securities     |   | ities<br>ng<br>re Secu    |                           | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Numbe<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported | Owner<br>Form:<br>Direct<br>or Indi<br>(I) (Insi | Ownership | Beneficial<br>Ownership<br>ct (Instr. 4) |
|  |                           |  |   |        | Code      | v  | (A) | (A) (D)                                |  | e<br>ercisable       |   | xpiration<br>ate    | Title   | Amo<br>or<br>Num<br>of Si |                           |   | (Instr. 4)   | tion(s)  |           |  |
| Stock<br>Option<br>(right to<br>buy)   | \$0.44                    | 02/25/2020                                 |   |        | М         |  |     | 101,000                                |  | (3)                  | 0   | 3/07/2022           | Commor<br>Stock   | 101                       | ,000,                     | \$0.00  | 164,24   | 49   | D         |  |

## **Explanation of Responses:**

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- 2. The weighted average sale price for the transaction reported was \$8.77, and the range of prices were between \$8.445 and \$9.29 per share. Upon request from the SEC staff, the issuer, or any security holder of the issuer, full information regarding the number of shares sold at each separate price will be provided.
- 3. The Shares subject to the option are fully vested and exercisable.

## Remarks:

This amendment is being filed to correct a typographical error for the Transaction Date in Table II.

/s/ Aaron Tachibana, Attorneyin-Fact 03/11/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.