FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, I | D.C. 20549 |  |
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| Check this box if no longer subject | ST |
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| to Section 16. Form 4 or Form 5     |    |
| obligations may continue. See       |    |
| Instruction 1(b).                   |    |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Chen Richard        |  |       |              |  | 2. Issuer Name and Ticker or Trading Symbol Personalis, Inc. [ PSNL ] |  |  |                  |          |  |                        |                   |   |  | k all app<br>Direc                               | ,  | ng Pe  | rson(s) to I<br>10% O<br>Other ( | wner |
|---|--|-------|--------------|--|---|--|--|------------------|----------|--|------------------------|-------------------|---|--|--|--|--|----------------------------------|------|
| (1.100)   |  |       |              |  | 3. Date of Earliest Transaction (Month/Day/Year) 12/17/2020           |  |  |                  |          |  |                        |                   |   | belov  |  | ntific   | below)   | Specify                          |      |
| (Street)  | PARK CA  | Λ 9   | 4025<br>Zip) |  | 4. If A   | 4. If Amendment, Date of Original Filed (Month/Day/Year) |  |                  |          |  |                        |                   |   | 6. Indi<br>Line)<br>X  |  |  |  |                                  |      |
|   |  | Table | I - No       | n-Deriva                                 | tive S  | Secu   | rities   | Acq              | uired,   | Dis  | posed of               | , or E            | Benefi                                    | cially   | y Own  | ed   |  |                                  |      |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date) |  |       |              | Exec<br>if an                            | A. Deemed<br>Execution Date,<br>f any<br>Month/Day/Year)              |  | 3. 4. Securitie<br>Transaction<br>Code (Instr. 8) 5.                                       |                  |          |  | 4 and Securi<br>Benefi |                   | ies<br>cially<br>Following                | Forn<br>(D) c  | wnership<br>n: Direct<br>or Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |  |                                  |      |
|   |  |       |              |  |   |  |  | Code             | V Amount |  | (A) (<br>(D)           | or Pric           | ce  | Transa   | action(s)<br>3 and 4)                            |  |  | (1130.4)                         |      |
| Common Stock 12/17/2  |  |       |              | 2020                                     |   |  |  | S <sup>(1)</sup> |          | 1,087  | D                      | \$3               | 6.37                                      | .37 111,370  |  |  | D  |                                  |      |
|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |       |              |  |   |  |  |                  |          |  |                        |                   |   |  |  |  |  |                                  |      |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)           | ivative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any  |       | Code (<br>8) | of o |   | vative<br>irities<br>iired<br>r<br>osed<br>)             | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date |                  |          | 7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amount or Numbo of Title Shares |                        | De<br>Sei<br>(In: | Price of<br>rivative<br>curity<br>str. 5) | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | у  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |                                  |      |

## **Explanation of Responses:**

1. Shares sold to cover tax obligation from settlement of vested restricted stock units.

## Remarks:

/s/ Aaron Tachibana, Attorney-in-Fact

12/21/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.